



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*IPW*

Applicant: Kevin H Embree

Title: METHOD AND SYSTEM TO DETECT OUTLYING BEHAVIOR IN A NETWORK-BASED MARKETPLACE

Docket No.: 2043.127US1

Serial No.: 10/822,894

Filed: April 12, 2004

Examiner: Unknown

Group Art Unit: Unknown

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

☒ Return postcard.

☒ Communication Concerning Related Applications (1 pg.).

☒ Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.). Documents NOT enclosed.

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.


Customer Number 21186


By: 

Atty: Mark R. Vatuone

Reg. No. 53,719

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30 day of September, 2005.

  
Name

  
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)

S/N 10/822,894

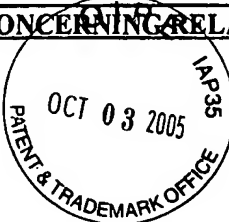
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin H. Embree Examiner: Unknown  
Serial No.: 10/822,894 Group Art Unit: Unknown  
Filed: April 12, 2004 Docket: 2043.127US1  
Title: METHOD AND SYSTEM TO DETECT OUTLYING BEHAVIOR IN A NETWORK-  
BASED MARKETPLACE

COMMUNICATION CONCERNING RELATED APPLICATIONS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Applicant would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date/Issue Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/661,168 6,944,599	September 13, 2000	2043.015US1	MONITORING AND AUTOMATIC NOTIFICATION OF IRREGULAR ACTIVITY IN A NETWORK-BASED TRANSACTION FACILITY
11/158,651	June 22, 2005	2043.015US2	AUTOMATIC NOTIFICATION OF IRREGULAR ACTIVITY

Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications. Applicants request that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,  
KEVIN H. EMBREE

By Applicant's Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
408-278-4046

Date

9/27/2005

By

Mark R. Vatuone  
Mark R. Vatuone  
Reg. No. 53,719

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Name

Signature

S/N 10/822,894

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin H Embree

Examiner: Unknown

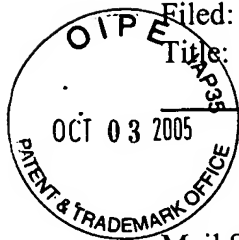
Serial No.: 10/822,894

Group Art Unit: Unknown

Filed: April 12, 2004

Docket: 2043.127US1

Title: METHOD AND SYSTEM TO DETECT OUTLYING BEHAVIOR IN A  
NETWORK-BASED MARKETPLACE



INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/822,894

Filing Date: April 12, 2004

Title: METHOD AND SYSTEM TO DETECT OUTLYING BEHAVIOR IN A NETWORK-BASED MARKETPLACE

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Dkt: 2043.127US1

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,  
KEVIN H EMBREE

By his Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
408-278-4046

Date 9/27/2005

By Mark R. Vatuone

Mark R. Vatuone  
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Name

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/822,894
Filing Date	April 12, 2004
First Named Inventor	Embree, Kevin
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 2043.127US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-5,895,453	04/20/1999	Cook, Kathleen	08/27/1996

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached